

FORM PTO-1449

# INFORMATION DISCLOSURE CITATION P E IN AN APPLICATION

(Use several sheets if necessary)

Docket Number  
ARC920000127US1Application Number  
09/814,624Applicant  
A Cantu et al.Filing Date  
March 22, 2001Group Art Unit  
2161

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DAL	4	8	8	8	7	7	3	12/19/89	Arlington et al.			
3	5	3	6	9	7	0	2	11/29/94	Shanton			RECEIVED
	5	3	6	9	7	0	7	11/29/94	Follendore, III			APR 05 2002
	5	3	7	5	1	6	9	12/20/94	Scheidt et al.			Technology Center 2100
	5	4	3	2	8	5	1	07/11/95	Scheidt et al.			
	5	5	2	1	9	8	0	05/28/96	Brands			
	5	6	0	4	8	0	2	02/18/97	Holloway			
	4	9	1	2	3	0	8	03/27/90	Takahira			
	5	6	1	3	0	1	2	03/18/97	Hoffman et al.			
	5	6	3	3	9	3	0	05/27/97	Davis et al.			
	5	6	5	9	6	1	6	08/19/97	Sudia			
	5	7	1	7	7	5	5	02/10/98	Shanton			
	5	7	3	7	4	2	3	04/07/98	Manduley			
	5	7	4	0	2	3	2	04/14/98	Pailles et al.			
	5	7	6	7	8	9	6	06/16/98	Nemirofsky			
	5	7	8	7	1	7	3	07/28/98	Scheidt et al.			
	5	7	9	6	8	3	2	08/18/98	Kawan			
✓	5	8	9	8	7	8	1	04/27/99	Shanton			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
DAL	9	8	1	2	6	7	5	26.03.98	PCT				
↓	0	9	8	2	8	7	2	01.03.00	EPO				
↓	2	7	4	7	4	7	4	24.12.96	Canada				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

DAL	U.S. Patent Application Serial No. 09/349,171, filed on 07/09/99, entitled, "Method, System, And Program For Managing Access And Authorization To Resources", invented by H. M. Gladney.
↓	U.S. Patent Application Serial No. 09/465,514, filed on 12/16/99, entitled, "Distributed Data Structures for Authorization and Access Control for Computing Resources", invented by H.M. Gladney.
↓	Kaliski, Jr., Burton S. "An Overview of the PKCS Standards", RSA Laboratories Technical Note, November 1, 1993, pp. 1-26.
↓	IBM, Corp. "Passport File for Secure Access to the Internet", IBM Technical Bulletin, Vol. 39, No. 2, February 1996, pp. 333-334

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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>		<b>RECEIVED</b> Applicant A Cantu et al.	
		Filing Date March 22, 2001	Group Art Unit 2161
	IBM, Corp. "Staged Purchase Device for Electronic Purse System", IBM Technical Bulletin, Vol. 40, No. 11, November 1997, p. 69.		
	Wiseman, Norman. "Implementing a National Access Management System for Electronic Service", D-Lib Magazine, March 1998.		
	Lynch, Clifford. "A White Paper on Authentication and Access Management Issues in Cross-organizational Use of Networked Information Resourced", Coalition for Networked Information, April 1998, pp. 1-22.		
	Millman, David. "Cross-Organizational Access Management", D-Lib Magazine, Vol. 5, No. 11, November 1999, pp. 1-10.		
	Ellison, Carl M. "Raising The Security Bar", September 25, 1998, pp. 1-37. Available at URL: <a href="http://www.jhuapl.edu/cybertech/ct_4/ellison/tsld001.html">http://www.jhuapl.edu/cybertech/ct_4/ellison/tsld001.html</a>		
	Ellison, Carl M. "Establishing Identity Without Certification Authorities", July 20, 1996, pp. 1-10		
	Seamons, Kent E., et al. "Internet Credential Acceptance Policies", July 1997; Leuven, Belgium; pp. 1-15.		
	Gladney, Henry M. "Safe Deals Between Strangers", IBM Research Report RJ10155, July 1999, pp. 1-27.		
	Gladney, Henry M. "Safeguarding Digital Library Contents and Users", D-Lib Magazine, April 1998, ISSN 1082-9873, pp. 1-8. Available at URL: <a href="http://www.dlib.org/dlib/april98/04gladney.html">http://www.dlib.org/dlib/april98/04gladney.html</a>		
EXAMINER 	DATE CONSIDERED 		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			